

ENVOY COMPLIANCE QUESTIONNAIRE

The questions below apply to calendar year 2012 and are intended to identify any retirement plans that you might sponsor that are in addition to the STRS, PERS, and voluntary 403(b) or 457(b) plans for any employees, employee groups, individual employees, or negotiated agreements with one or more employees that are still employed or have separated from service.

1. Yes No Do you offer or provide ANY retirement plan benefits to one or more employees other than STRS, PERS, and voluntary 403(b) or 457(b) plans (i.e. Social Security Alternative Plan, Special Pay Plan, Early Retirement Plan, or other negotiated arrangement)?
2. Yes No Do ANY of your employees make contributions to a 457 plan not administered by Envoy?
3. Yes No Do ANY of your employees make contributions to a 403(b) account/plan not administered by Envoy?
4. Yes No Do you make Employer contributions to any 403(b) or 457(b) plan or account for the benefit of one or more employees (i.e. Social Security Alternative Plan, Special Pay Plan, Early Retirement Plan, or other negotiated arrangement)?
5. Yes No Do you make Employer contributions to ANY 403(b) or 457(b) plan or account for the benefit of one or more employees that are separated from service and no longer employed?

To proceed with the annual compliance testing please check the box below for your preference:

6. Provide Full Data File We prefer to provide Envoy a full data file to complete the 2012 compliance testing

Confirm YTD Data File We prefer to receive a year-to-date participant data file from Envoy based on payroll data received by Envoy for our review and confirmation of 2012 YTD contributions

Employer name: _____

Individual who completed this form: _____

Date: _____

PLEASE COMPLETE AND RETURN BY JANUARY 25th, 2013 via email to Yearendinfo@midamerica.biz